

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
In re PATENT APPLICATION of  
Inventor: Dennis L. Montgomery  
Appln. No.: 10/033,537

Filed: October 31, 2001

For: OPTICAL ENCODING OF AUDIO DATA

Atty. Dkt.: 042503-0261933

Group Art Unit: 2173

Examiner: Unassigned

2173  
\$  
DLC  
04/14/03

Date: April 2, 2003

INFORMATION DISCLOSURE STATEMENT

RECEIVED

Asst. Commissioner for Patents  
Washington, D.C. 20231

APR 14 2003

Sir:

Technology Center 2100

Enclosed herewith is Form PTO-1449 and the references cited therein. The documents listed thereon were cited in the International Search Report (also enclosed) which was mailed to Applicants by the International Searching Authority on March 13, 2003 for the PCT counterpart application. Please see the report for the degree of relevance (category) of these documents and for the particular passages cited therefor.

This IDS is intended to be in full compliance with the rules, but should the Examiner find any part of its required content to have been omitted, prompt notice to that effect is earnestly solicited, along with additional time under Rule 97(f), to enable Applicant to comply fully.

Contingent Petition Under Rule 97(d): Should a Final Rejection or Notice of Allowance issue with a mailing date which precedes or is the same as the filing date of this IDS, please consider this a Petition under Rule 97(d), charge the petition fee to our Deposit Account No. 03-3975 under Order No. 042503-0261933 and proceed to consider this IDS under Rule 97(d).

Consideration of the foregoing and enclosures, plus the return of a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited, along with an early action on the merits.

1600 Tysons Blvd.  
McLean, VA 22102  
Tel. (650) 233-4777  
Fax (650) 233-4545

Respectfully submitted,  
PILLSBURY WINTHROP LLP

Mark J. Danielson, Reg. No. 40,580  
for David A. Jakopin, Reg. No. 32,995

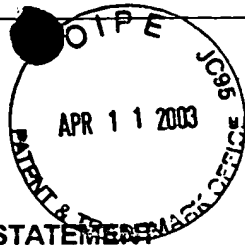
*I certify that this paper is being deposited with the U.S. Postal Service as first class mail in an envelope with postage prepaid, addressed to: Commissioner for Patents, Washington, D.C. 20231, on April 2, 2003.*

  
Deanna Costen

04/14/2003 RHEBRANT 00000076 033975 10033537  
01 FC:1806 180.00 CH

042503-0261933  
ETV-006(U)

FORM PTO-1449 (modified)  
To: U.S. Department of Commerce  
(PW FORM PAT-1449)  
Patent and Trademark Office



Atty. Dkt. No.	M#	Client Ref.
	042503-0261933	ETV-006(U)

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

Applicant: Dennis L. Montgomery

Appln. No.: 10/033,537

Filing Date: October 31, 2001

Date: April 2, 2003

Page 1 of 1

Examiner: Unassigned

Group Art Unit: 2173

**U.S. PATENT DOCUMENTS**

Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
	AR	5,191,319	03/02/93	Kiltz	340	701
	BR					
	CR					
	DR					
	ER					
	FR					
	GR					
	HR					
	IR					
	JR					
	KR					
	LR					
	MR					
	NR					

**RECEIVED**

**APR 14 2003**

**Technology Center 2100**

**FOREIGN PATENT DOCUMENTS**

	Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Readily Available	
					Enclosed	No	Enclose	No
	OR	0 675 478	10/04/95	Europe	Baker et al.			
	PR							
	QR							
	RR							
	SR							
	TR							
	UR							
	VR							
	WR							
	XR							

**OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)**

YR	Fushikida et al., "Visualized Sound Retrieval and Categorization Using a Feature-Based Image Search Engine," <i>IEICE Trans. Inf. &amp; Syst.</i> (2000) E83-D:1978-1985			
ZR				
AAR				
BBR				
CCR				

Examiner

Date Considered:

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.